

FORM NL-36 - STATEMENT OF INVESTMENT AND INCOME ON INVESTMENT

Registration No. 102



Date of Registration with the IRDA: 23.10.2000

Company Name & Code:

ROYAL SUNDARAM ALLIANCE INSURANCE COMPANY LIMITED, 0540

Date:

30-Sep-12

PERIODICITY OF SUBMISSION : QUARTERLY

Rs. In Lakhs

Statement Of Investment And Income On Investment

NO.	CATEGORY OF INVESTMENT	CAT CODE	Current Quarter				Year to date				Previous Year			
			INVESTMENT (Rs.)	INCOME ON INVESTMENT (Rs.)	GROSS YIELD (%)	NET YIELD (%)	INVESTMENT (Rs.)	INCOME ON INVESTMENT (Rs.)	GROSS YIELD (%)	NET YIELD (%)	INVESTMENT (Rs.)	INCOME ON INVESTMENT (Rs.)	GROSS YIELD (%)	NET YIELD (%)
A	CENTRAL GOVERNMENT SECURITIES													
A01	Central Government Bonds	CGSB	38,012	650.23	7.70%	7.70%	38,012	1,272.09	7.45%	7.45%	30,613.97	1,064.39	6.90%	6.90%
A02	Special Deposits	CSPD												
A03	Deposit under Section 7 of Insurance Act, 1938	CDSS	1,086	20.24	7.35%	7.35%	1,086	40.59	7.35%	7.35%	1,122.61	41.88	7.35%	7.35%
A04	Treasury Bills	CTRB	14,067	295.51	7.60%	7.60%	14,067	684.33	7.60%	7.60%	9,722.88	274.91	6.95%	6.95%
B	STATE GOVERNMENT / OTHER APPROVED SECURITIES / OTHER GUARANTEED SECURITIES													
B01	Central Govt Guaranteed Loans/Bonds	CGSL												
B02	State Government Bonds	SGGB												
B03	State Government Guaranteed Loans	SGGL												
B04	Other Approved Securities (excluding Infrastructure / Social Sector Investments)	SGOA	6,529	136.90	7.04%	7.04%	6,529	277.57	7.04%	7.04%	8,846.72	315.49	7.04%	7.04%
B05	Guaranteed Equity	SGGE												
C	HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT													
C01	Loans to State Government for Housing	HLSH												
C02	Loans to State Government for Fire Fighting Equipments	HLSF												
C03	Term Loan - HUDCO/NHB/Institutions accredited by NHB	HTLH												
C04	Commercial Papers - NHB/Institutions accredited by NHB	HTLN												
C05	Housing - Securitised Assets	HMB5												
C06	Debentures/Bonds/CP/Loans - Promoter Group	HDPG	5,000	110.28	10.05%	10.05%	5,000	238.86	10.00%	10.00%	3,500.00	152.68	9.72%	9.72%
	TAXABLE BONDS OF													
C07	Bonds / Debentures issued by HUDCO	HTHD												
C08	Bonds / Debentures issued by NHB/Institutions accredited by NHB	HTDN	30,928	718.50	8.85%	8.85%	30,928	1,401.03	8.80%	8.80%	21,927.50	919.56	8.60%	8.60%
C09	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HTDA	-	-	-	-	-	-	-	-	-	-	-	-
	TAX FREE BONDS													
C10	Bonds / Debentures issued by HUDCO	HFHD												
C11	Bonds / Debentures issued by NHB/Institutions accredited by NHB	HFBN												
C12	Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act	HFDA												
D	INFRASTRUCTURE / SOCIAL SECTOR INVESTMENTS													
D01	Infrastructure/ Social Sector - Other Approved Securities	ISAS												
D02	Infrastructure - PSU-Equity Shares - Quoted	ITPE												
D03	Infrastructure - Corporate Securities - Equity Shares - Quoted	ITCE												
D04	Infrastructure - Equity and Equity related Instruments (promoter group)	IEPG												
D05	Infrastructure - Securitised Assets	IESA												
D06	Infrastructure - Debentures/Bonds/CP/Loans - Promoter Group	IDPG												
	TAXABLE BONDS OF													
D07	Infrastructure - PSU - Debentures / Bonds	IPTD	36,046	815.54	8.60%	8.60%	36,046	1,445.08	8.50%	8.50%	29,160.32	1,158.94	7.69%	7.69%
D08	Infrastructure - PSU - CP	IPCP												
D09	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	11,999	272.20	8.80%	8.80%	11,999	523.26	8.75%	8.75%	11,991.18	380.75	7.90%	7.90%
D10	Infrastructure - Other Corporate Securities - CPs	ICCP												

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D11	Infrastructure - Term Loans(With Charge)	ILWC												
	TAX FREE BONDS													
D12	Infrastructure - PSU - Debentures / Bonds	IPFD												
D13	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICFD												
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS													
E01	PSU - Equity shares - quoted	EAEQ	339	3.07	-	-	339	6.14	-	-	330.60	4.10	-	-
E02	Corporate Securities - Equity shares (Ordinary)-quoted	EACE	693	2.38	-	-	693	6.21	-	-	643.12	5.63	-	-
E03	Equity Shares - Companies incorporated outside India (Invested prior to IRDA regulations)	EFES												
E04	Equity Shares (incl Equity related instruments) - Promoter Group	EEPG												
E05	Corporate Securities - Bonds Taxable	EPBT	5,966	141.88	8.70%	8.70%	5,966	250.12	8.67%	8.67%	4,500.78	173.44	8.07%	8.07%
E06	Corporate Securities - Bonds Tax Free	EPBF												
E07	Corporate Securities - Preference Shares	EPNQ	-	-	-	-	-	-	-	-	-	-	-	-
E08	Corporate Securities - Investment in Subsidiaries	ECIS												
E09	Corporate Securities - Debentures	ECOS	14,528	337.66	10.00%	10.00%	14,528	723.43	9.98%	9.98%	19,052.03	829.40	9.90%	9.90%
E10	Corporate Securities - Debentures/Bonds/CPs/Loan - Promoter Group	EDPG	3,445	100.50	9.45%	9.45%	3,445	189.50	9.40%	9.40%	3,500.00	132.57	9.02%	9.02%
E11	Corporate Securities - Derivative Instruments	ECDI	-	-	-	-	-	-	-	-	-	-	-	-
E12	Investment properties - Immovable	EINP												
E13	Loans - Policy Loans	ELPL												
E14	Loans - Secured Loans - Mortgage of Property in India (Term Loan)	ELMI												
E15	Loans - Secured Loans - Mortgage of Property Outside India (Term Loan)	ELMO												
E16	Deposits - Deposit with scheduled banks, FIs(incl Bank Balance awaiting investment),CCIL, RBI	ECDB	40	-	-	-	40	-	-	-	35.00	-	-	-
E17	Deposits - CDs with scheduled banks	EDCD	20,755	523.76	9.30%	9.30%	20,755	977.53	9.24%	9.24%	11,502.74	505.86	8.75%	8.75%
E18	Deposits - Repo/Reverse Repo	ECMR												
E19	Deposit with Primary Dealers duly recognised by Reserve Bank of India	EDPD												
E20	CCIL (Approved Investment) - CBLO	ECBO	1,789	97.88	8.20%	8.20%	1,789	187.51	8.15%	8.15%	2,203.01	76.53	6.95%	6.95%
E21	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	-	-	-	-
E22	Application Money	ECAM	-	-	-	-	-	-	-	-	-	-	-	-
E23	Perpetual Debt Instruments of Tier I & II capital issued by PSU Banks	EUPD												
E24	Perpetual Debt Instruments of Tier I & II capital issued by Non-PSU Banks	EPPD												
E25	Perpetual Non-Cum P Shares & Redeemable Cumulative Pref Shares of Tier I & II capital issued by PSU Banks	EUPS												
E26	Perpetual Non-Cum P Shares & Redeemable Cumulative Pref Shares of Tier I & II capital issued by Non-PSU Banks	EPPS												
E27	Foreign Debt Securities (Invested prior to IRDA regulations)	EFDS												
E28	Mutual Funds - Gilt/Gsec/Liquid Schemes	EGMF	2,009	42.32	-	-	2,009	42.32	-	-	-	39.61	-	-
E29	Mutual Funds (Under Insurers promoter group)	EMPG	-	-			-	-			-	-		
E30	Net Current Assets (Only in respect of ULIP business)	ENCA												
F	OTHER INVESTMENTS													
F01	Bonds PSU Taxable	OBPT												
F02	Bonds PSU Taxfree	OBPF												
F03	Equity Shares Incl Co-Op Societies	OESH												
F04	Equity Shares PSUs & Unlisted	OEPU	11	0.39			11	0.39			10.55	0.27		

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F05	Equity Shares incl Equity related instruments Promoter Group	OEPG												
F06	Debentures	OLDB												
F07	Debentures/Bonds/CP/Loans - Promoter Group	ODPG												
F08	Commercial Papers	OACP												
F09	Preference Shares	OPSH												
F10	Venture Fund	OVNF												
F11	Short term loans (Unsecured Deposits)	OSLU												
F12	Term Loans - Without Charge	OTLW												
F13	Mutual Funds - Gilt/Gsec/Liquid Schemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
F14	Mutual Funds (Under Insurers promoter group)	OMPG												
F15	Derivative Instruments	OCDI												
F16	Securitized Assets	OPSA												
F17	Investment properties - Immovable	OIPI												
	TOTAL		193,240	4,269.24	8.80%	8.80%	193,240	8,265.95	8.59%	8.59%	158,663.02	6,076.01	7.95%	7.95%

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.