

Name of the Insurer : ROYAL SUNDARAM ALLIANCE INSURANCE COMPANY LTD.

Registration Number : 102

Statement as on : 30-09-2013

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission : Quarterly

Rs. Crore

Section I

No	Particulars	SCH	Amount
1	Investments	8	2,194.11
2	Loans	9	0.00
3	Fixed Assets	10	14.95
4	Deferred Tax Assets	BS	32.91
5	Current Assets		
	a. Cash & Bank Balance	11	3.60
	b. Advances & Other Assets	12	221.90
6	Current Liabilities		
	a. Current Liabilities	13	-1,284.67
	b. Provisions	14	-685.94
	c. Misc. Exp not Written Off	15	0.00
	d. Debit Balance of P&L A/c	16	0.00
	Application of Funds as per Balance Sheet (A)	TOTAL	496.86
	Less: Other Assets	SCH	Amount
1	Loans (if any)	9	0.00
2	Fixed Assets (if any)	10	14.95
3	Deferred Tax Assets	BS	32.91
4	Cash & Bank Balance (if any)	11	3.60
5	Advances & Other Assets (if any)	12	221.50
6	Current Liabilities	13	-1,284.67
7	Provisions	14	-685.94
8	Misc. Exp not Written Off	15	0.00
9	Debit Balance of P&L A/c		0.00
	Total (B)	TOTAL (B)	-1,697.65
	'Investment Assets' As per FORM 3B	(A-B)	2,194.51

Section II

Rs. Crore

No.	'Investment' represented as	Reg. %	SH		PH	Book Value	% Actual	FVC Amount	Total	Market Value
			Balance	FRSM*						
			(a)	(b)	(c)	d = (b+c)	(e)	(f)	(g)=(d+f)	(h)
1	Central Govt. Securities	Not Less than 20%	0.00	141.30	456.91	598.21	27.24%	0.00	598.21	581.61
2	Central Govt Sec, State Govt Sec or Other Approved	Not Less than 30%	0.00	162.55	525.63	688.18	31.34%	0.00	688.18	670.07
3	Investment subject to Exposure Norms									
	a. Housing & Loans to SG for Housing and FEE	Not Less than 5%								
	1. Approved Investments		0.00	73.28	236.96	310.23	14.13%	0.00	310.23	305.44
	2. Other Investments		0.00	0.00	0.00	0.00		0.00	0.00	0.00
	b. Infrastructure Investments	Not Less than 10%								
	1. Approved Investments		0.00	178.50	577.21	755.71	34.41%	0.00	755.71	743.68
	2. Other Investments		0.00	0.00	0.00	0.00		0.00	0.00	0.00
	c. Approved Investments	Not exceeding 55%	0.00	104.47	337.83	442.30	20.12%	-1.92	440.39	437.12
	d. Other Investments	Not exceeding 25%	0.00	0.00	0.00	0.00		0.00	0.00	0.00
	Total Investment Assets	100%	0.00	518.80	1,677.63	2,196.43	100.00%	-1.92	2,194.51	2,156.32

Certification

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

- Note:
- (+) FRSM refers 'Funds representing Solvency Margin'
 - Other Investments' are as permitted under 27B(3)
 - Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.
 - Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account