

FORM - 3B

(Read with Regulation 10)

Name of the Insurer : ROYAL SUNDARAM GENERAL INSURANCE CO. LIMITED

Registration Number : 102

Statement as on : 30-09-2016

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Rs.in Lakhs

Periodicity of Submission : Quarterly

Section I

| No | Particulars | SCH | Amount |
|----|--|------------------|--------------------|
| 1 | Investments | 8 | 3,10,976.44 |
| 2 | Loans | 9 | 0.00 |
| 3 | Fixed Assets | 10 | 2,992.02 |
| 4 | Current Assets | | |
| | a. Cash & Bank Balance | 11 | 2,208.15 |
| | b. Advances & Other Assets | 12 | 23,185.42 |
| 5 | Current Liabilities | | |
| | a. Current Liabilities | 13 | 1,79,236.36 |
| | b. Provisions | 14 | 96,000.88 |
| | c. Misc. Exp not Written Off | 15 | 0.00 |
| | d. Debit Balance of P&L A/c | 16 | 0.00 |
| | Application of Funds as per Balance Sheet (A) | | 6,14,599.26 |
| | Less: Other Assets | SCH | Amount |
| 1 | Loans (if any) | 9 | 0.00 |
| 2 | Fixed Assets (if any) | 10 | 2,992.02 |
| 3 | Cash & Bank Balance (if any) | 11 | 2,208.15 |
| 4 | Advances & Other Assets (if any) | 12 | 23,185.42 |
| 5 | Current Liabilities | 13 | 1,79,236.36 |
| 6 | Provisions | 14 | 96,000.88 |
| 7 | Misc. Exp not Written Off | 15 | 0.00 |
| 8 | Debit Balance of P&L A/c | | 0.00 |
| | Total (B) | TOTAL (B) | 3,03,622.83 |
| | 'Investment Assets' As per FORM 3B | (A-B) | 3,10,976.44 |

Section II

Rs. In Lakhs

| No. | 'Investment' represented as | Reg. % | SH | | PH (c) | Book Value (SH + PH) d = (b+c) | % Actual (e) | FVC Amount (f) | Total (g)=(d+f) | Market Value (h) |
|-----|---|-------------------|-------------|------------------|--------------------|--------------------------------------|-----------------|----------------------|--------------------|------------------------|
| | | | Balance | FRSM* | | | | | | |
| | | | (a) | (b) | | | | | | |
| 1 | Central Govt. Securities | Not Less than 20% | 0.00 | 18,644.49 | 73,975.92 | 92,620.40 | 29.83% | 0.00 | 92,620.40 | 94,328.00 |
| 2 | Central Govt Sec, State Govt Sec or Other Approved Securities (incl (i) above) | Not Less than 30% | 0.00 | 20,136.66 | 79,896.44 | 1,00,033.10 | 32.21% | 0.00 | 1,00,033.10 | 1,02,020.16 |
| 3 | Investment subject to Exposure Norms | | | | | | | | | |
| | a. Housing & Loans to SG for Housing and FEE | Not Less than 5% | | | | | | | | |
| | 1. Approved Investments | | 0.00 | 8,770.47 | 34,798.68 | 43,569.15 | 14.03% | 0.00 | 43,569.15 | 44,377.02 |
| | 2. Other Investments | | 0.00 | 0.00 | 0.00 | 0.00 | 0.00% | 0.00 | 0.00 | 0.00 |
| | b. Infrastructure Investments | Not Less than 10% | | 0.00 | 0.00 | 0.00 | 0.00% | 0.00 | 0.00 | 0.00 |
| | 1. Approved Investments | | 0.00 | 14,842.32 | 58,890.01 | 73,732.33 | 23.74% | 0.00 | 73,732.33 | 75,780.25 |
| | 2. Other Investments | | | 0.00 | 0.00 | 0.00 | 0.00% | 0.00 | 0.00 | 0.00 |
| | c. Approved Investments | Not exceeding 70% | 0.00 | 17,675.73 | 70,132.17 | 87,807.89 | 28.28% | 0.00 | 87,807.89 | 89,239.06 |
| | d. Other Investments | Not exceeding 25% | 0.00 | 1,083.73 | 4,299.92 | 5,383.65 | 1.73% | 0.00 | 5,383.65 | 5,497.51 |
| | Total Investment Assets | 100% | 0.00 | 62,508.91 | 2,48,017.21 | 3,10,526.12 | 100.00% | 0.00 | 3,10,526.12 | 3,16,914.01 |

Certification

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.