

FORM NL-12 & 12A -INVESTMENT SCHEDULE

Particulars	NL -12		NL -12A		(Amount in Rs. Lakhs)	
	Shareholders		Policyholders		Total	
	Mar 31, 2022	Mar 31, 2021	Mar 31, 2022	Mar 31, 2021	Mar 31, 2022	Mar 31, 2021
LONG TERM INVESTMENTS						
1 Government securities and Government guaranteed bonds including Treasury Bills	45,067	42,793	2,19,601	2,32,924	2,64,668	2,75,717
2 Other Approved Securities	-	-	-	-	-	-
3 Other Investments	-	-	-	-	-	-
(a) Shares	-	-	-	-	-	-
(aa) Equity	-	-	-	-	-	-
(bb) Preference	-	-	-	-	-	-
(b) Mutual Funds	-	-	-	-	-	-
(c) Derivative Instruments	-	-	-	-	-	-
(d) Debentures/ Bonds	19,463	17,649	94,841	96,065	1,14,304	1,13,714
(e) Other Securities (InvITs)	143	-	699	-	843	-
(f) Subsidiaries	-	-	-	-	-	-
(g) Investment Properties-Real Estate	-	-	-	-	-	-
4 Investments in Infrastructure and Housing	24,321	18,418	1,18,510	1,00,249	1,42,831	1,18,667
5 Other than Approved Investments	2,771	3,039	13,501	16,539	16,272	19,578
Less: Provision for doubtful investments	-	(435)	-	(2,365)	-	(2,800)
TOTAL	91,766	81,464	4,47,152	4,43,413	5,38,918	5,24,876
SHORT TERM INVESTMENTS						
1 Government securities and Government guaranteed bonds including Treasury Bills	1,712	-	8,341	-	10,052	-
2 Other Approved Securities	-	-	-	-	-	-
3 Other Investments	-	-	-	-	-	-
(a) Shares	-	-	-	-	-	-
(aa) Equity	7,794	6,378	37,976	34,713	45,769	41,091
(bb) Preference	-	-	-	-	-	-
(b) Mutual Funds	2,135	1,787	10,406	9,727	12,541	11,514
(c) Derivative Instruments	-	-	-	-	-	-
(d) Debentures/ Bonds	10,590	7,498	51,601	40,811	62,191	48,309
(e) Other Securities - TREP	1,294	583	6,304	3,175	7,598	3,759
(f) Subsidiaries	-	-	-	-	-	-
(g) Investment Properties-Real Estate	-	-	-	-	-	-
4 Investments in Infrastructure and Housing	3,416	1,861	16,643	10,127	20,059	11,987
5 Other than Approved Investments	2,270	1,257	11,061	6,842	13,331	8,099
Less: Provision for doubtful investments	-	(186)	-	(1,014)	-	(1,200)
TOTAL	29,210	19,177	1,42,331	1,04,381	1,71,540	1,23,558
GRAND TOTAL	1,20,975	1,00,640	5,89,483	5,47,794	7,10,458	6,48,434

Notes:

(a) Investments in subsidiary/holding companies, joint ventures and associates shall be separately disclosed, at cost.

- Holding company and subsidiary shall be construed as defined in the Companies Act, 1956 as amended by Company Act 2013:
- Joint Venture is a contractual arrangement whereby two or more parties undertake an economic activity, which is subject to joint control.
- Joint control - is the contractually agreed sharing of power to govern the financial and operating policies of an economic activity to obtain benefits from it.

- Associate - is an enterprise in which the company has significant influence and which is neither a subsidiary nor a joint venture of the company.

-Significant influence (for the purpose of this schedule) - means participation in the financial and operating policy decisions of a company, but not control of those policies. Significant influence may be exercised in several ways, for example, by representation on the board of directors, participation in the policymaking process, material inter-company transactions, interchange of managerial personnel or dependence on technical information. Significant influence may be gained by share ownership, statute or agreement. As regards share ownership, if an investor holds, directly or indirectly through subsidiaries, 20 percent or more of the voting power of the investee, it is presumed that the investor does have significant influence, unless it can be clearly demonstrated that this is not the case. Conversely, if the investor holds, directly or indirectly through subsidiaries, less than 20 percent of the voting power of the investee, it is presumed that the investor does not have significant influence, unless such influence is clearly demonstrated.

- A substantial or majority ownership by another investor does not necessarily preclude an investor from having significant influence.

(b) Aggregate amount of company's investments other than listed equity securities and derivative instruments and also the market value thereof shall be disclosed in the **Annexure A as specified below**.

(c) Investments made out of Catastrophe reserve should be shown separately.

(d) Debt securities will be considered as "held to maturity" securities and will be measured at historical cost subject to amortisation.

(e) Investment Property means a property [land or building or part of a building or both] held to earn rental income or for capital appreciation or for both, rather than for use in services or for administrative purposes.

(f) Investments maturing within twelve months from balance sheet date and investments made with the specific intention to dispose of within twelve months from balance sheet date shall be classified as short-term investments

(g) Investment Regulations, as amended from time to time, to be referred

A) Aggregate value of Investments other than Listed Equity Securities and Derivative Instruments**(Amount in Rs. Lakhs)**

Particulars	Shareholders		Policyholders		Total	
	Mar 31, 2022	Mar 31, 2021	Mar 31, 2022	Mar 31, 2021	Mar 31, 2022	Mar 31, 2021
Long Term Investments--						
Book Value	91,466	81,833	4,45,692	4,45,424	5,37,158	5,27,257
market Value	91,624	82,560	4,46,460	4,49,380	5,38,083	5,31,939
Short Term Investments--						
Book Value	17,510	11,629	85,322	56,667	1,02,832	68,296
market Value	17,694	11,333	86,217	55,222	1,03,911	66,554